

06 September 2023

## PRESS RELEASE

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Ad hoc announcement pursuant to Art. 53 Listing Rules:

# GAM convenes Extraordinary General Meeting of Shareholders

The Board of Directors of GAM Holding AG ("GAM Board") today convened an Extraordinary General Meeting ("EGM") to be held in Zurich on 27 September 2023, 10am CEST. The EGM was requested by Rock Investment SAS ("Rock") in connection with the public partial tender offer of Newgame SA, Geneva, Switzerland, for 28,000,000 publicly held registered shares with a par value of CHF 0.05 each of GAM Holding AG. Rock is a French-incorporated entity that is owned by NJJ Holding ("NJJ"), the personal holding company of Xavier Niel. It is part of the NewGAME investor group, which consists of Newgame SA and Bruellan SA.

The EGM agenda includes proposals from Rock for elections to the Board of Directors (including the Chair), elections to the Compensation Committee, the creation of conditional capital for financing purposes, and an increase of the share capital range of GAM Holding AG. The current GAM Board proposes to approve Rock's proposals and urges shareholders to vote accordingly.

NewGAME have today reiterated their intention to create stability and secure the future of GAM. The proposals to shareholders at the EGM are part of their ongoing plan to provide funding to GAM over the longer term to supplement the immediate short-term financing announced on the 29 August 2023.

Only those shareholders who are registered (with voting rights) in the share register as at 20 September 2023 are eligible to participate and vote at the EGM. Admission cards will be sent by post starting on 21 September to registered shareholders after receipt of the duly signed registration form.

Registered shareholders can also authorise and give their voting instructions to the Independent Representative until 25 September 2023 electronically or in writing, or authorise a third party as their representative.

The invitation can be downloaded [here](#).

### Upcoming events:

<b>27 September 2023</b>	Extraordinary General Meeting
<b>19 October 2023</b>	Q3 2023 Interim Statement

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## **GAM**

GAM is an independent investment manager that is listed in Switzerland. Total assets under management were CHF 68 billion as at 30 June 2023, with Investment Management at CHF 21.9 billion and Fund Management Services at CHF 46.1 billion. GAM has global distribution with offices in 14 countries and is geographically diverse with clients in almost every continent. It has 3,500 clients globally, of which c. 2,700 are based in Europe. Headquartered in Zurich, GAM Investments was founded in 1983 and its registered office is at Hardstrasse 201 Zurich, 8037 Switzerland.

### **Other Important Information**

This release contains or may contain statements that constitute forward-looking statements. Words such as “anticipate”, “believe”, “expect”, “estimate”, “aim”, “project”, “forecast”, “risk”, “likely”, “intend”, “outlook”, “should”, “could”, “would”, “may”, “might”, “will”, “continue”, “plan”, “probability”, “indicative”, “seek”, “target”, “plan” and other similar expressions are intended to or may identify forward-looking statements.

Any such statements in this release speak only as of the date hereof and are based on assumptions and contingencies subject to change without notice, as are statements about market and industry trends, projections, guidance and estimates. Any forward-looking statements in this release are not indications, guarantees, assurances or predictions of future performance and involve known and unknown risks, uncertainties and other factors, many of which are beyond the control of the person making such statements, its affiliates and its and their directors, officers, employees, agents and advisors and may involve significant elements of subjective judgement and assumptions as to future events which may or may not be correct and may cause actual results to differ materially from those expressed or implied in any such statements. You are strongly cautioned not to place undue reliance on forward-looking statements and no person accepts or assumes any liability in connection therewith.

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