Media Release



Roche to present new pivotal data at ASH 2021 from broad and comprehensive portfolio, challenging treatment standards for people with blood disorders

- Phase III POLARIX trial showed Polivy plus R-CHP was the first treatment in two decades to significantly improve outcomes in newly diagnosed diffuse large B-cell lymphoma (DLBCL) versus the standard of care¹
- Pivotal data on mosunetuzumab, a potential first-in-class CD20xCD3 T-cell engaging bispecific antibody, showed high response rates in relapsed or refractory follicular lymphoma (FL)²
- HAVEN 6 phase III interim data demonstrated Hemlibra's favourable safety and efficacy profile in people with moderate or mild haemophilia A³

Basel, 23 November 2021 - Roche (SIX: RO, ROG; OTCQX: RHHBY) today announced that new data from its extensive haematology portfolio will be presented at the American Society of Hematology (ASH) Annual Meeting and Exposition from 11-14 December 2021. Roche molecules will be featured in more than 90 abstracts, including 17 oral presentations, showcasing new immunotherapies, unique treatment combinations, the application of novel endpoints, and fixed-duration regimens.

Results from three pivotal studies will be featured:

- First presentation of efficacy and safety data from the phase III POLARIX study as a late-breaking abstract and in the ASH press programme. POLARIX met its primary endpoint of improving progression-free survival, showing Polivy® (polatuzumab vedotin) plus MabThera®/Rituxan® (rituximab), cyclophosphamide, doxorubicin, and prednisone (R-CHP) reduced the likelihood of disease worsening or death, versus the standard-of-care, MabThera/Rituxan plus cyclophosphamide, doxorubicin, vincristine and prednisone (R-CHOP), for people with newly diagnosed diffuse large B-cell lymphoma (DLBCL). The safety profile was comparable for Polivy plus R-CHP versus R-CHOP.¹ POLARIX is being conducted in collaboration with The Lymphoma Study Association (LYSA) and The Lymphoma Academic Research Organisation (LYSARC).
- Pivotal results from the phase I/II GO29781 study, presented for the first time and featured in the ASH press
 programme, showing mosunetuzumab, a CD20xCD3 T-cell engaging bispecific antibody immunotherapy,
 achieved high response rates with a manageable safety profile. These data suggest that it could be a new
 treatment option for people with relapsed or refractory follicular lymphoma (FL) who have received two or
 more prior therapies.²FL is the most common indolent (slow growing) form of non-Hodgkin lymphoma, a type
 of blood cancer, which often returns after initial therapy.⁴
- Interim data from the phase III HAVEN 6 study, which demonstrated the favourable safety and efficacy profile of Hemlibra® (emicizumab) in people with moderate or mild haemophilia A without factor VIII inhibitors.³ This patient population has historically not used prophylactic (preventative) treatments, likely due to delayed or missed diagnosis and a lack of treatments and treatment guidelines, meaning these patients have a significant unmet clinical need.^{5,6}

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"For 20 years, we have remained committed to deepening our understanding of many benign and malignant blood disorders in order to better meet the urgent needs of patients with these diseases," said Levi Garraway, M.D., Ph.D., Roche's Chief Medical Officer and Head of Global Product Development. "Our data at ASH reinforce our conviction that following the science and developing versatile treatment approaches leads to improved outcomes for patients in increasingly meaningful ways."

Data presented at ASH by Roche span numerous blood diseases, including lymphoma, leukaemia, multiple myeloma and haemophilia. Additional data to be presented include updated results for three T-cell engaging bispecific antibody immunotherapies: glofitamab and mosunetuzumab, targeting CD20 and CD3; and cevostamab, targeting FcRH5 and CD3.

Further information on the key abstracts featuring Roche medicines presented can be found in the table below.

Roche will be hosting a Live Media Event titled: 'Redefining treatment standards to improve outcomes for patients with blood disorders' on Wednesday 15 December, offering an exclusive opportunity to hear from experts about the pivotal data being presented. Register <u>here</u> to access the Roche Haematology Newsroom, available from Friday 26 November, where you'll be able to attend the Live Media Event and find materials to further explain and provide context to Roche's malignant and non-malignant data.

Follow Roche on Twitter via <u>@Roche</u> and <u>LinkedIn</u> and keep up to date with ASH Annual Meeting news and updates by using the hashtag #ASH21.

Medicine	Abstract title	Abstract number/presentation details
Polivy	The POLARIX Study: Polatuzumab	#LBA-1 oral presentation
	Vedotin with Rituximab,	Session: Late-Breaking Abstracts
	Cyclophosphamide, Doxorubicin,	Tuesday 14 December, 2021
	and Prednisone (pola-R-CHP) Versus	09:00-10:30 ET/15:00-16:30 CET
	Rituximab, Cyclophosphamide,	
	Doxorubicin, Vincristine and	
	Prednisone (R-CHOP) Therapy in	
	Patients with Previously Untreated	
	Diffuse Large B-Cell Lymphoma	
Mosunetuzumab	Mosunetuzumab Monotherapy Is an	#127 oral presentation
	Effective and Well-Tolerated	Session: 623
	Treatment Option for Patients with	Saturday 11 December, 2021
	Relapsed/Refractory (R/R) Follicular	12:00-13:30 ET/18:00-19:30 CET

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	Lymphoma (FL) Who Have Received	
	≥2 Prior Lines of Therapy: Pivotal	
	Results from a Phase I/II Study	
	Mosunetuzumab Plus Polatuzumab	#533 oral presentation
	Vedotin Has Promising Efficacy and	Session: 627
	a Favorable Safety Profile in	Sunday 12 December, 2021
	Patients with Relapsed/Refractory	16:30-18:00 ET/22:30-00:00 CET
	Aggressive B-cell Non-Hodgkin	
	Lymphoma: Updated Results from a	
	Phase Ib/II Study	
	Mosunetuzumab in Combination with	#129 oral presentation
	Lenalidomide Has a Manageable	Session: 623
	Safety Profile and Encouraging	Saturday 11 December, 2021
	Activity in Patients with	12:00-13:30 ET/18:00-19:30 CET
	Relapsed/Refractory Follicular	
	Lymphoma: Initial Results from a	
	Phase Ib Study	
	Subcutaneous (SC) Administration of	#3573 poster presentation
	Mosunetuzumab with Cycle 1 Step-	Session: 626
	Up Dosing Is Tolerable and Active in	Monday 13 December, 2021
	Patients with Relapsed/Refractory	18:00-20:00 ET/00:00-02:00 CET
	B-Cell Non-Hodgkin Lymphomas (R/R	
	B-NHL): Initial Results from a Phase	
	I/II Study	
Glofitamab	Glofitamab Step-Up Dosing Induces	#130 oral presentation
	High Response Rates in Patients	Session: 623
	(pts) With Relapsed or Refractory	Saturday 11 December, 2021
	(R/R) Mantle Cell Lymphoma (MCL),	12:00-13:30 ET/18:00-00:00 CET
	Most of Whom Had Failed Prior	
	Bruton's Tyrosine Kinase Inhibitor	
	(BTKi) Therapy	
	Glofitamab (Glofit) in Combination	#525 oral presentation
	with Polatuzumab Vedotin (Pola):	Session: 626
	Phase Ib/II Preliminary Data Support	Sunday 12 December, 2021
	Manageable Safety and	16:30-18:00 ET/22:30-00:00 CET
	Encouraging Efficacy in	

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	Relapsed/Refractory (R/R) Diffuse Large B-Cell Lymphoma (DLBCL)Glofitamab As Monotherapy and in Combination with Obinutuzumab Induces High Complete Response Rates in Patients (pts) with Multiple Relapsed or Refractory (R/R) Follicular Lymphoma (FL)Glofitamab Monotherapy Provides Durable Responses After Fixed- Length Dosing in Relapsed/Refractory (R/R) non- Hodgkin Lymphoma (NHL) Patients (pts)	#128 oral presentation Session: 623 Saturday 11 December, 2021 12:00-13:30 ET/18:00-00:00 CET #2478 poster presentation Session: 626 Sunday 12 December, 2021 18:00-20:00 ET/00:00-02:00 CET
	Glofitamab Plus R-CHOP Induces High Response Rates With Minimal Cytokine Release Syndrome (CRS) in Patients (pts) with Relapsed/Refractory (R/R) Non- Hodgkin Lymphoma (NHL) and Previously Untreated (1L) Diffuse Large B-Cell Lymphoma (DLBCL): Preliminary Results From a Dose- Escalation and Safety Run-in Phase Ib Study	#2479 poster presentation Session: 626 Sunday 12 December, 2021 18:00-20:00 ET/00:00-02:00 CET
Cevostamab	Cevostamab Monotherapy Continues to Show Clinically Meaningful Activity and Manageable Safety in Patients with Heavily Pre- Treated Relapsed/Refractory Multiple Myeloma (RRMM): Updated Results from an Ongoing Phase I Study	#157 oral presentation Session: 653 Saturday 11 December, 2021 12:00-13:30 ET/18:00-19:30 CET
Gazyva/Gazyvaro	Obinutuzumab Short Duration Infusion Is Preferred by Healthcare Providers and Has Minimal Impact on	#1345 poster presentation Session: 623 Saturday 11 December, 2021

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	Patient-Reported Symptoms Among Patients with Untreated, Advanced Follicular Lymphoma	17:30-19:30 ET/23:30-01:30 CET
Venclexta/Venclyxto	Molecular Responses Are Observed across Mutational Spectrum in Treatment-Naïve Higher-Risk Myelodysplastic Syndrome Patients Treated with Venetoclax Plus Azacitidine	#241 oral presentation Session: 637 Saturday 11 December, 2021 14:00-15:30 ET/20:00-21:30 CET
	Outcomes in Patients with Poor-Risk Cytogenetics with or without <i>TP53</i> Mutations Treated with Venetoclax Combined with Hypomethylating Agents	#224 oral presentation Session: 617 Saturday 11 December, 2021 14:00-15:30 ET/20:00-21:30 CET
	Chronic Lymphocytic Leukemia (CLL) Clonal Growth Rate Is Slower Following Venetoclax-Rituximab (VenR): Results from a Minimal Residual Disease (MRD) Model from the Randomized Phase 3 Murano Trial	#1551 poster presentation Session: 642 Saturday 11 December, 2021 17:30-19:30 ET/23:30-01:30 CET
Hemlibra	Emicizumab Prophylaxis in Persons with Mild or Moderate Hemophilia A: Results from the Interim Analysis of the HAVEN 6 Study	#343 oral presentation Session: 322 Sunday 12 December, 2021 09:30-11:00 ET/15:30-17:00 CET
	Evaluation of the Safety of Emicizumab Prophylaxis in Persons with Hemophilia A: An Updated Summary of Thrombotic Events and Thrombotic Microangiopathies	#3186 poster presentation Session: 322 Monday 13 December, 2021 18:00-20:00 ET/00:00-02:00 CET
Crovalimab	Two Currently Recruiting Randomized Phase III Trials: COMMODORE 1 and 2 Evaluating Crovalimab vs Eculizumab in Patients With Paroxysmal Nocturnal	#4313 publication only

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Hemoglobinuria with or without	
Current Anti-Complement Therapy	
Trial in Progress: The Randomized,	#3108 poster presentation
Double-Blind, Placebo-Controlled	Session: 114
Phase Ib CROSSWALK-a Trial	Monday 13 December, 2021
Evaluating the Safety of Crovalimab	18:00-20:00 ET/ 00:00-02:00 CET
for the Management of Acute	
Uncomplicated Vaso-Occlusive	
Episodes (VOEs) in Patients with	
Sickle Cell Disease (SCD)	
Trial in Progress: The Randomized,	#3111 poster presentation
Double-Blind, Placebo-Controlled	Session: 114
Phase IIa CROSSWALK-c Trial	Monday 13 December, 2021
Evaluating the Efficacy of	18:00-20:00 ET/00:00-02:00 CET
Crovalimab As Adjunct Treatment in	
the Prevention of Vaso-Occlusive	
Episodes (VOEs) in Patients with	
Sickle Cell Disease (SCD)	

About Roche in haematology

Roche has been developing medicines for people with malignant and non-malignant blood diseases for over 20 years; our experience and knowledge in this therapeutic area runs deep. Today, we are investing more than ever in our effort to bring innovative treatment options to patients across a wide range of haematologic diseases. Our approved medicines include MabThera®/Rituxan® (rituximab), Gazyva®/Gazyvaro® (obinutuzumab), Polivy® (polatuzumab vedotin), Venclexta®/Venclyxto® (venetoclax) in collaboration with AbbVie, and Hemlibra® (emicizumab). Our pipeline of investigational haematology medicines includes T-cell engaging bispecific antibodies, glofitamab and mosunetuzumab, targeting both CD20 and CD3, and cevostamab, targeting both FcRH5 and CD3; Tecentriq® (atezolizumab), a monoclonal antibody designed to bind with PD-L1; and crovalimab, an anti-C5 antibody engineered to optimise complement inhibition. Our scientific expertise, combined with the breadth of our portfolio and pipeline, also provides a unique opportunity to develop combination regimens that aim to improve the lives of patients even further.

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About Roche

Roche is a global pioneer in pharmaceuticals and diagnostics focused on advancing science to improve people's lives. The combined strengths of pharmaceuticals and diagnostics, as well as growing capabilities in the area of data-driven medical insights help Roche deliver truly personalised healthcare. Roche is working with partners across the healthcare sector to provide the best care for each person.

Roche is the world's largest biotech company, with truly differentiated medicines in oncology, immunology, infectious diseases, ophthalmology and diseases of the central nervous system. Roche is also the world leader in in vitro diagnostics and tissue-based cancer diagnostics, and a frontrunner in diabetes management. In recent years, the company has invested in genomic profiling and real-world data partnerships and has become an industry-leading partner for medical insights.

Founded in 1896, Roche continues to search for better ways to prevent, diagnose and treat diseases and make a sustainable contribution to society. The company also aims to improve patient access to medical innovations by working with all relevant stakeholders. More than thirty medicines developed by Roche are included in the World Health Organization Model Lists of Essential Medicines, among them life-saving antibiotics, antimalarials and cancer medicines. Moreover, for the thirteenth consecutive year, Roche has been recognised as one of the most sustainable companies in the pharmaceutical industry by the Dow Jones Sustainability Indices (DJSI).

The Roche Group, headquartered in Basel, Switzerland, is active in over 100 countries and in 2020 employed more than 100,000 people worldwide. In 2020, Roche invested CHF 12.2 billion in R&D and posted sales of CHF 58.3 billion. Genentech, in the United States, is a wholly owned member of the Roche Group. Roche is the majority shareholder in Chugai Pharmaceutical, Japan. For more information, please visit <u>www.roche.com</u>.

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References

[1] Tilly H, et al. The POLARIX Study: Polatuzumab Vedotin with Rituximab, Cyclophosphamide, Doxorubicin, and Prednisone (pola-R-CHP) Versus Rituximab, Cyclophosphamide, Doxorubicin, Vincristine and Prednisone (R-CHOP) Therapy in Patients with Previously Untreated Diffuse Large B-Cell Lymphoma. Presentation at: ASH Annual Meeting and Exposition; 2021 Dec 11-14 Abstract #LBA-1.

[2] Budde E, et al. Mosunetuzumab Monotherapy is an Effective and Well-Tolerated Treatment Option for Patients with Relapsed/Refractory (R/R) Follicular Lymphoma (FL) who have Received \geq 2 Prior Lines of Therapy: Pivotal Results from a Phase I/II Study. Presentation at ASH Annual Meeting and Exposition; 2021 Dec 11-14 Abstract #127.

[3] Negrier C, et al. Emicizumab Prophylaxis in Persons with Mild or Moderate Hemophilia A: Results from the Interim Analysis of the HAVEN 6 Study. Presentation at ASH Annual Meeting and Exposition; 2021 Dec 11-14 Abstract #343.

[4] Fowler NH. Role of Maintenance Rituximab (Rituxan) Therapy In the Treatment of Follicular Lymphoma. Pharmacy and Therapeutics; 2011; 36:590-598.

[5] Walsh C et al. Identified unmet needs and proposed solutions in mild-to-moderate haemophilia: A summary of opinions from a roundtable of haemophilia experts. Haemophilia. 2021 February 01; 27(S1):25-32.

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[6] Nissen F, et al. An Insight into clinical outcomes in mild, moderate, and severe hemophilia A (HA): A preliminary analysis of the CHESS II study. International Society on Thrombosis and Haemostasis (ISTH) 2020 Congress, 12-14 July, 2020; Abstract OC 09.3.

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