

# Oxurion Receives Transparency Notifications from Fidelity Management & Research Company LLC and Novartis Pharma AG

**Leuven, Belgium, Boston, MA, US** - **March 17, 2022** – **07.00 PM CET** – <u>Oxurion NV</u> (Euronext Brussels: OXUR) (the "Company" or "Oxurion"), a biopharmaceutical company developing next generation standard of care ophthalmic therapies, with clinical stage assets in vascular retinal disorders, today announced that, pursuant to Belgian transparency legislation<sup>1</sup>, it had received two transparency notifications following the closing of its private placement, which was announced on March 7, 2022.

Oxurion received a transparency notification dated March 14, 2022, from Fidelity Management & Research Company LLC, indicating that, by virtue of an acquisition of shares on March 7, 2022, through the private placement, it had acquired 3,128,819 common shares, which represents 6.7% of Oxurion's voting rights, and thus crossed the 5% threshold (the "Fidelity Notification").

Oxurion also received a transparency notification dated March 15, 2022, from Novartis Pharma AG and Novartis AG, which confirmed Novartis Pharma AG's holding of 2,177,226 common shares, which as a result of the private placement now represents 4.7% of Oxurion's voting rights, and thereby also crossed the 5% threshold (downwards) (the "Novartis Notification").

## **Content of the Fidelity Notification**

*Reason of the notifications* Acquisition or disposal of voting securities or voting rights.

Notifications by

A parent undertaking or a controlling person.

Persons subject to the notification re	equirement
--	------------

Name	Address (for legal entities)
EMELLC	The Corporation Trust Center, 1209 Orange Street, Wilmington, New Castle County, Delaware, 19801.

Date on which the threshold is crossed 7 March 2022

<sup>&</sup>lt;sup>1</sup> Article 14, first paragraph, of the Law of 2 May 2007 on disclosure of major holdings.



Threshold that is crossed 5%

*Denominator* 46,628,892

Notified details See Annex 1.

## Chain of controlled undertakings through which the holding is effectively held

Fidelity Management & Research Company LLC is controlled by FMR LLC. FMR LLC is not a controlled entity.

### **Content of the Novartis Notification**

*Reason of the notifications* Passive crossing of a threshold.

Notifications by

A parent undertaking or a controlling person.

#### Persons subject to the notification requirement

	Name	Address (for legal entities)
Novartis AG		Lichtstrasse 35, 4056 Basel, Switzerland
	Novartis Pharma AG	Lichtstrasse 35, 4056 Basel, Switzerland

Date on which the threshold is crossed 7 March 2022

Threshold that is crossed 5%

*Denominator* 46,628,892

*Notified details* See Annex 2.

*Chain of controlled undertakings through which the holding is effectively held* Novartis Pharma AG is a wholly-owned direct subsidiary of Novartis AG.



## PRESS RELEASE Regulated Information March 17, 2022, 07.00 PM CET

#### **About Oxurion**

Oxurion (Euronext Brussels: OXUR) is a biopharmaceutical company developing next generation standard of care ophthalmic therapies, which are designed to better preserve vision in patients with retinal vascular disorders including diabetic macular edema (DME), the leading cause of vision loss in diabetic patients worldwide as well as other conditions, including wet age-related macular degeneration (wet AMD) and macular edema following retinal vein occlusion (ME-RVO). Oxurion is aiming to build a leading global franchise in the treatment of retinal vascular disorders based on the successful development of its two novel therapeutics. THR-149 is a potent plasma kallikrein inhibitor being developed as a potential new standard of care for the 40-50% of DME patients showing suboptimal response to anti-VEGF therapy. THR-687 is a highly selective pan-RGD integrin antagonist that is being developed as a potential first line therapy for DME patients as well as wet AMD and potentially ME-RVO. Oxurion is headquartered in Leuven, Belgium, with corporate operations in Boston, MA. More information is available at <u>www.oxurion.com</u>.

#### For more information, please contact:

Oxurion NV	<u>EU</u>
Tom Graney	MEDISTRAVA Consulting
Chief Executive Officer	David Dible/ Sylvie Berrebi/Frazer Hall
Tel: +32 16 75 13 10	Tel: +44 20 7638 9571
tom.graney@oxurion.com	oxurion@medistrava.com
	<u>US</u>
Michaël Dillen	Westwicke, an ICR Company
Chief Business Officer	Christopher Brinzey
Tel: +32 479 783 583	Tel: +1 617 835 9304
michael.dillen@oxurion.com	chris.brinzey@westwicke.com



## **Regulated Information**

March 17, 2022, 07.00 PM CET

# **ANNEX 1**

A) Voting rights	Previous notification	After the transaction				
	# of voting rights	# of voting rights # of voting rights % of voting right		ing rights		
Holders of voting rights		Linked to securities Not linked to the securities		Linked to securities	Not linked to the securities	
FMR LLC		0		0.00%		
Fidelity Management & Research Company LLC		3,128,819		6.71%		
Subtotal		3,128,819		6.71%		
TOTAL		3,128,819	0	6.71%	0.00%	

B) Equivalent financial instruments	After the transaction					
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Evercise period or	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
	TOTAL			0	0.00%	

TOTAL (A & B)	# of voting rights		
	CALCULATE	3,128,819	6.71%



# **PRESS RELEASE**

#### **Regulated Information**

March 17, 2022, 07.00 PM CET

# ANNEX 2

A) Voting rights	<b>Previous notification</b>	After the transaction						
	# of voting rights	# of voting rights		# of voting rights		# of voting rights # of voting rights % of voting rig		ing rights
Holders of voting rights		Linked to securities Not linked to the securities		Linked to securities	Not linked to the securities			
Novartis AG	0	0		0.00%				
Novartis Pharma AG	2,177,226	2,177,226		4.67%				
Subtotal	2,177,226	2,177,226		4.67%				
TOTAL		2,177,226	0	4.67%	0.00%			

B) Equivalent financial instruments	After the transaction					
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
	TOTAL			0	0.00%	

TOTAL (A & B)	# of voting rights	% of voting rights	
	CALCULATE	2,177,226	4.67%



# PRESS RELEASE

Regulated Information March 17, 2022, 07.00 PM CET